

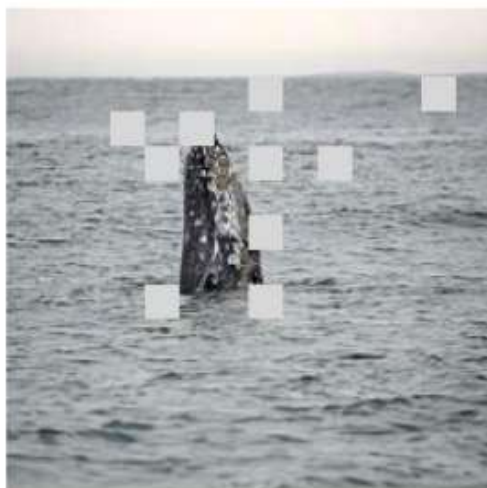
LoCoOp: Few-Shot Out-of-Distribution Detection via Prompt Learning

Atsuyuki Miyai¹ Qing Yu^{1,2} Go Irie³ Kiyoharu Aizawa¹

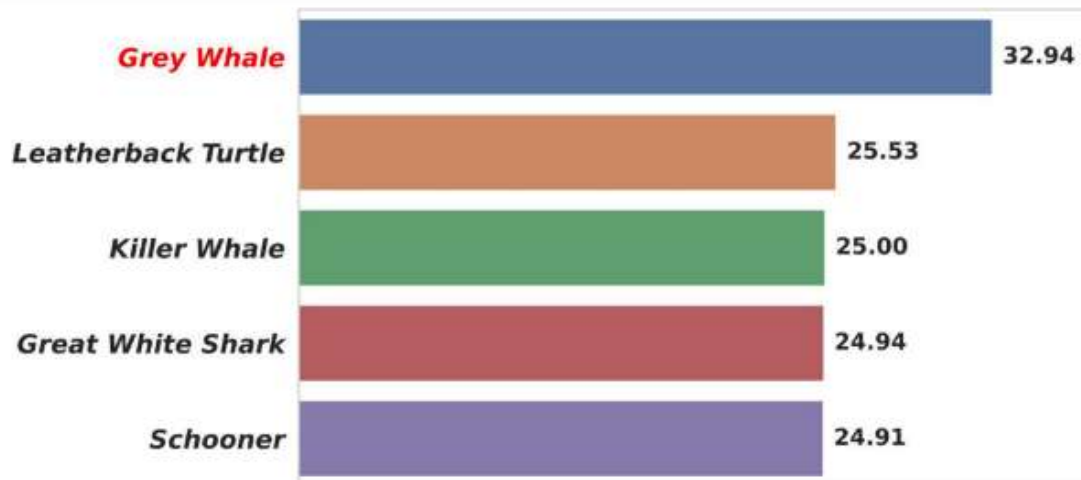
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NeurIPS 2023

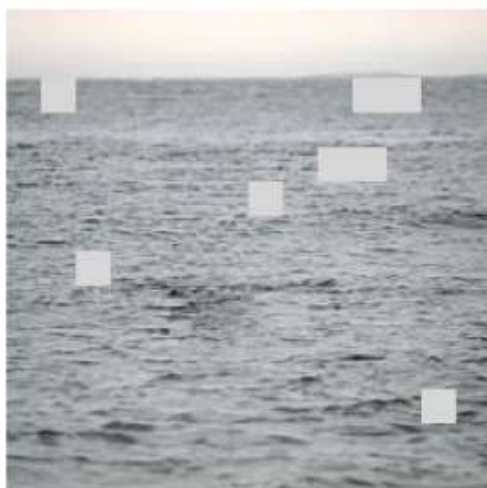
Motivation



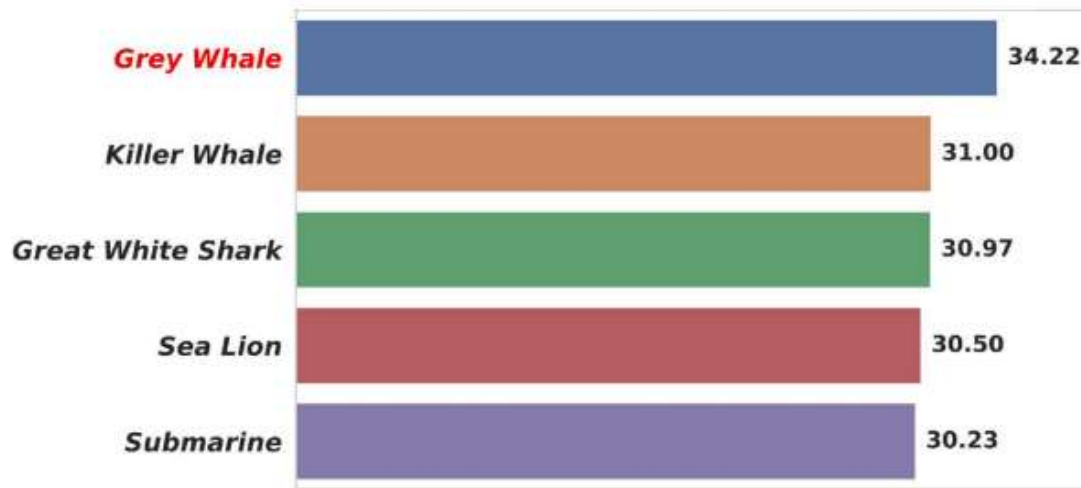
ID class: grey whale



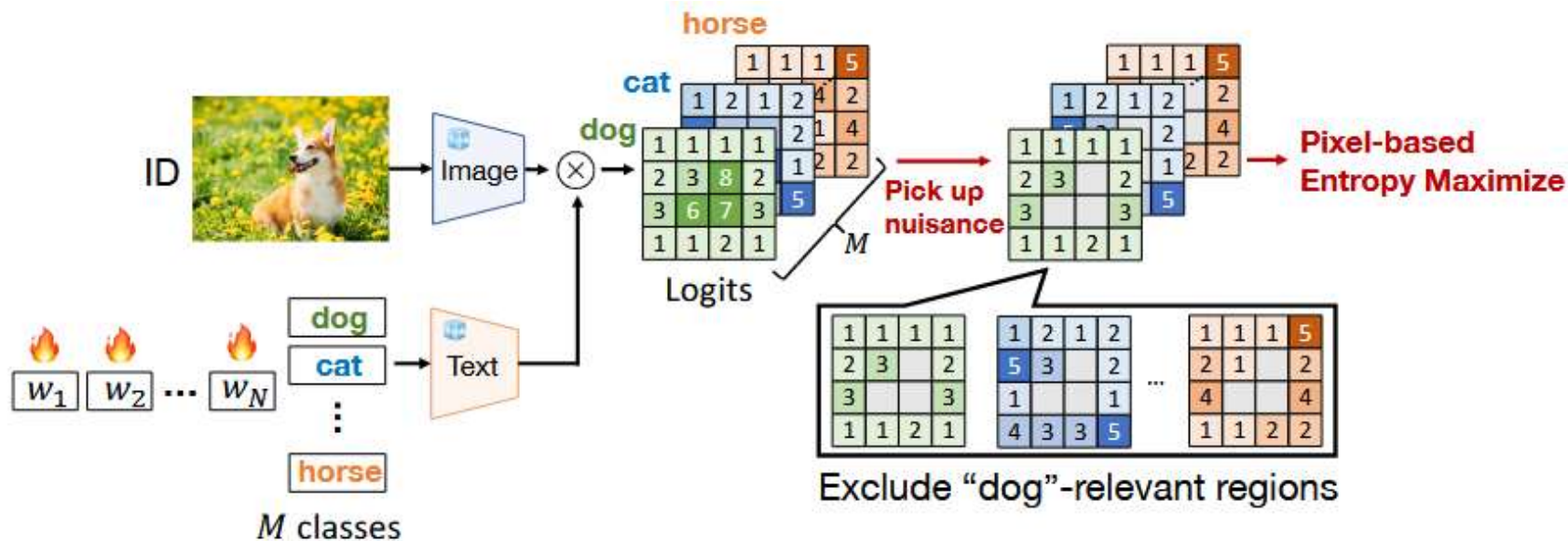
Top 5 logits on ImageNet-1K classes



Background interference



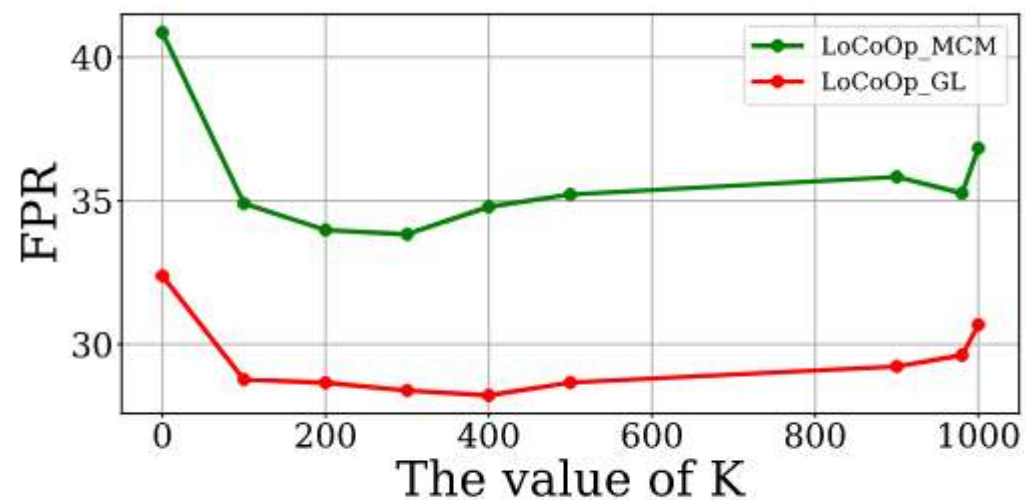
Top 5 logits on ImageNet-1K classes



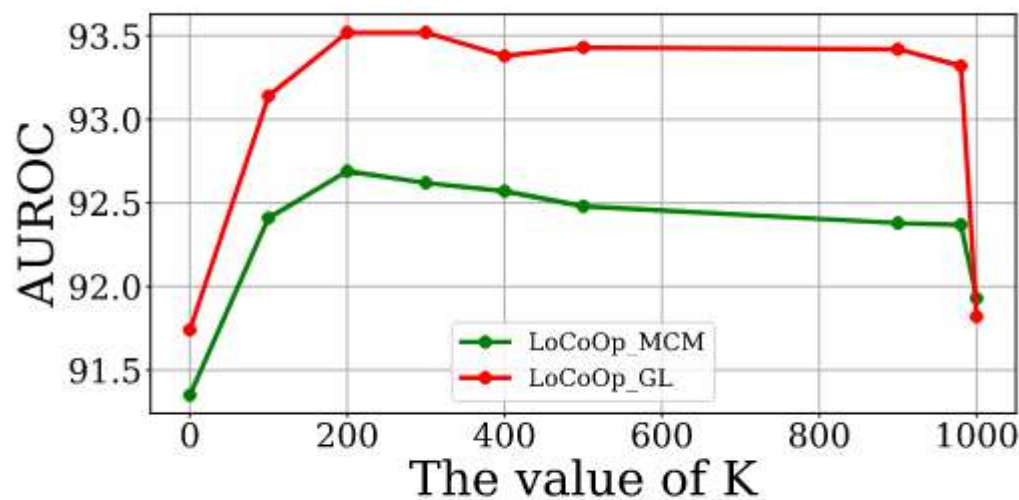
- 计算每个区域的特征 $f_i^{\text{in}} = \text{Proj}_{v \rightarrow t}(v(f_i^{\text{in}}))$
- 计算每个区域的类别概率 $p_i(y = m | x^{\text{in}}) = \frac{\exp(\text{sim}(f_i^{\text{in}}, g_m) / \tau)}{\sum_{m'=1}^M \exp(\text{sim}(f_i^{\text{in}}, g_{m'}) / \tau)}$
- 获得top-K的ID无关区域 $J = \{i \in I : \text{rank}(p_i(y = y^{\text{in}} | x^{\text{in}})) > K\}$
- ID无关区域的熵最大化 $\mathcal{L}_{\text{ood}} = -H(p_j)$, $\mathcal{L} = \mathcal{L}_{\text{coop}} + \lambda \mathcal{L}_{\text{ood}}$
- OOD分数 $S_{\text{MCM}} = \max_m \frac{\exp(\text{sim}(\mathbf{f}, \mathbf{g}_m) / \tau)}{\sum_{m'=1}^M \exp(\text{sim}(\mathbf{f}, \mathbf{g}_{m'}) / \tau)}$
 $S_{\text{GL-MCM}} = S_{\text{MCM}} + \max_{m,i} \frac{\exp(\text{sim}(\mathbf{f}_i, \mathbf{g}_m) / \tau)}{\sum_{m'=1}^M \exp(\text{sim}(\mathbf{f}_i, \mathbf{g}_{m'}) / \tau)}$

Method	iNaturalist		SUN		Places		Texture		Average	
	FPR95↓	AUROC↑	FPR95↓	AUROC↑	FPR95↓	AUROC↑	FPR95↓	AUROC↑	FPR95↓	AUROC↑
<i>Zero-shot</i>										
MCM [30]*	30.94	94.61	37.67	92.56	44.76	89.76	57.91	86.10	42.82	90.76
GL-MCM [33]*	15.18	96.71	30.42	93.09	38.85	89.90	57.93	83.63	35.47	90.83
<i>Fine-tuned</i>										
ODIN [28]†	30.22	94.65	54.04	87.17	55.06	85.54	51.67	87.85	47.75	88.80
ViM [50]†	32.19	93.16	54.01	87.19	60.67	83.75	53.94	87.18	50.20	87.82
KNN [45]†	29.17	94.52	35.62	92.67	39.61	91.02	64.35	85.67	42.19	90.97
NPOS _{MCM} [46]†	16.58	96.19	43.77	90.44	45.27	89.44	46.12	88.80	37.93	91.22
NPOS _{MCM} [46]*	19.59	95.68	48.26	89.70	49.82	88.77	51.12	87.58	42.20	90.43
NPOS _{GL} *	18.70	95.36	38.99	90.33	41.86	89.36	47.89	86.44	36.86	90.37
<i>Prompt learning</i>										
<i>one-shot (one label per class)</i>										
CoOp _{MCM}	43.38	91.26	38.53	91.95	46.68	89.09	50.64	87.83	44.81	90.03
CoOp _{GL}	21.30	95.27	31.66	92.16	40.44	89.31	52.93	84.25	36.58	90.25
LoCoOp _{MCM} (ours)	38.49	92.49	33.27	93.67	39.23	91.07	49.25	89.13	40.17	91.53
LoCoOp _{GL} (ours)	24.61	94.89	25.62	94.59	34.00	92.12	49.86	87.49	33.52	92.14
<i>16-shot (16 labels per class)</i>										
CoOp _{MCM}	28.00	94.43	36.95	92.29	43.03	89.74	39.33	91.24	36.83	91.93
CoOp _{GL}	14.60	96.62	28.48	92.65	36.49	89.98	43.13	88.03	30.67	91.82
LoCoOp _{MCM} (ours)	23.06	95.45	32.70	93.35	39.92	90.64	40.23	91.32	33.98	92.69
LoCoOp _{GL} (ours)	16.05	96.86	23.44	95.07	32.87	91.98	42.28	90.19	28.66	93.52

Experiment



(a) FPR95



(b) AUROC

Experiment

ID: tench (fish)



ID: scarf



ID: French horn



ID: Appenzeller Sennenhund (dog)



ID: military aircraft



ID: guinea pig



Automated Essential Concept Discovery for Few-Shot Out-of-Distribution Detection

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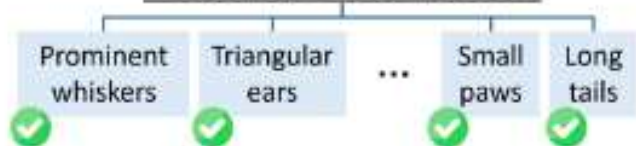
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Automated Essential Concept Discovery

ID: Cat



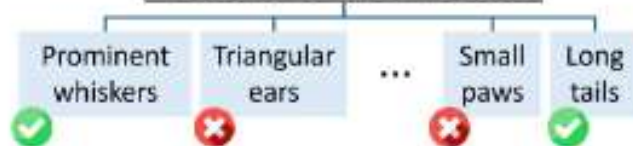
Essential attributes



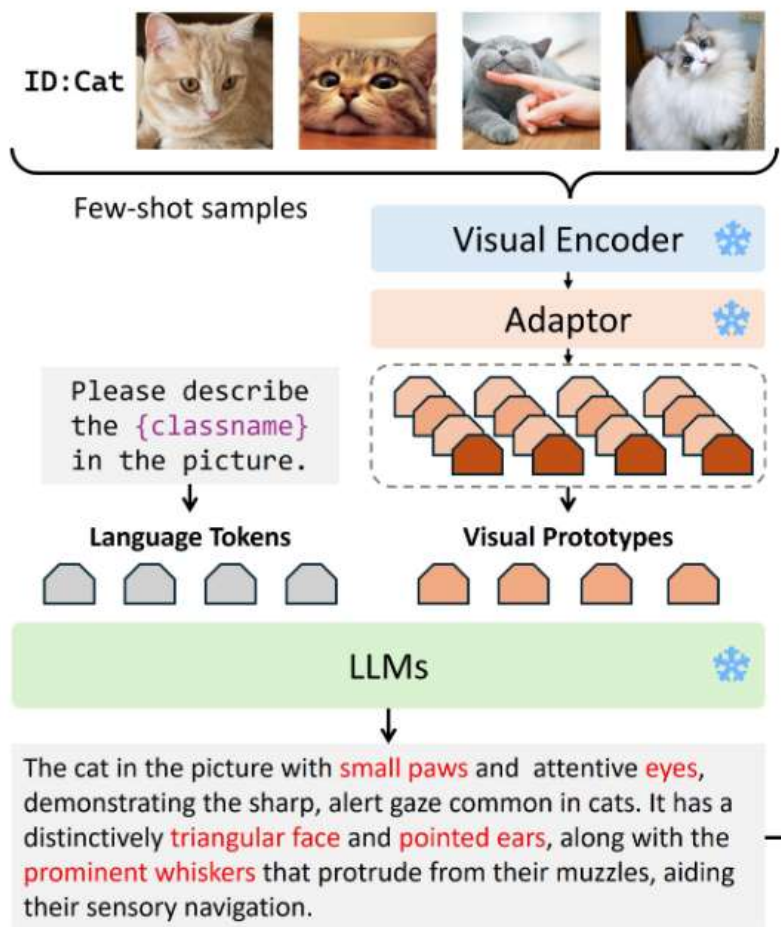
OOD: Tiger



Essential attributes



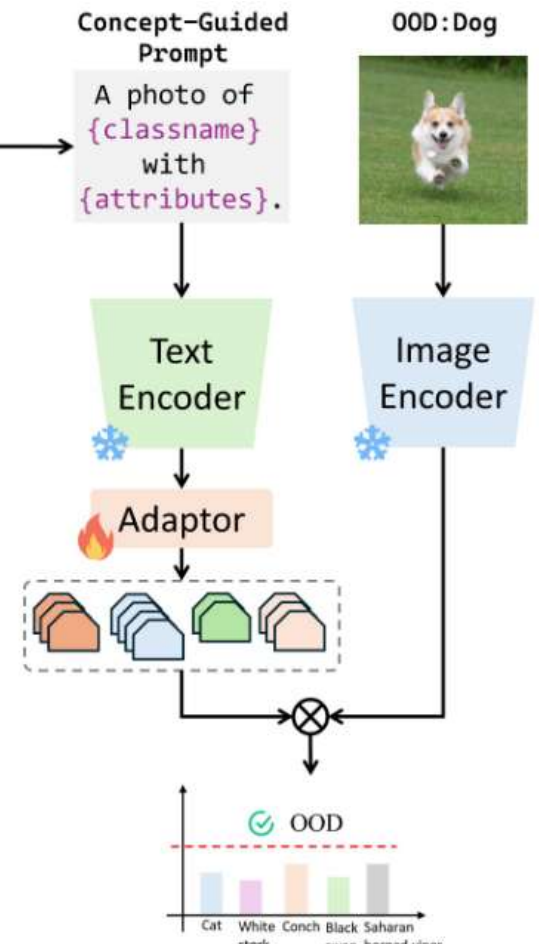
Automated Essential Concept Discovery



(a) Automated Essential Concept Discovery

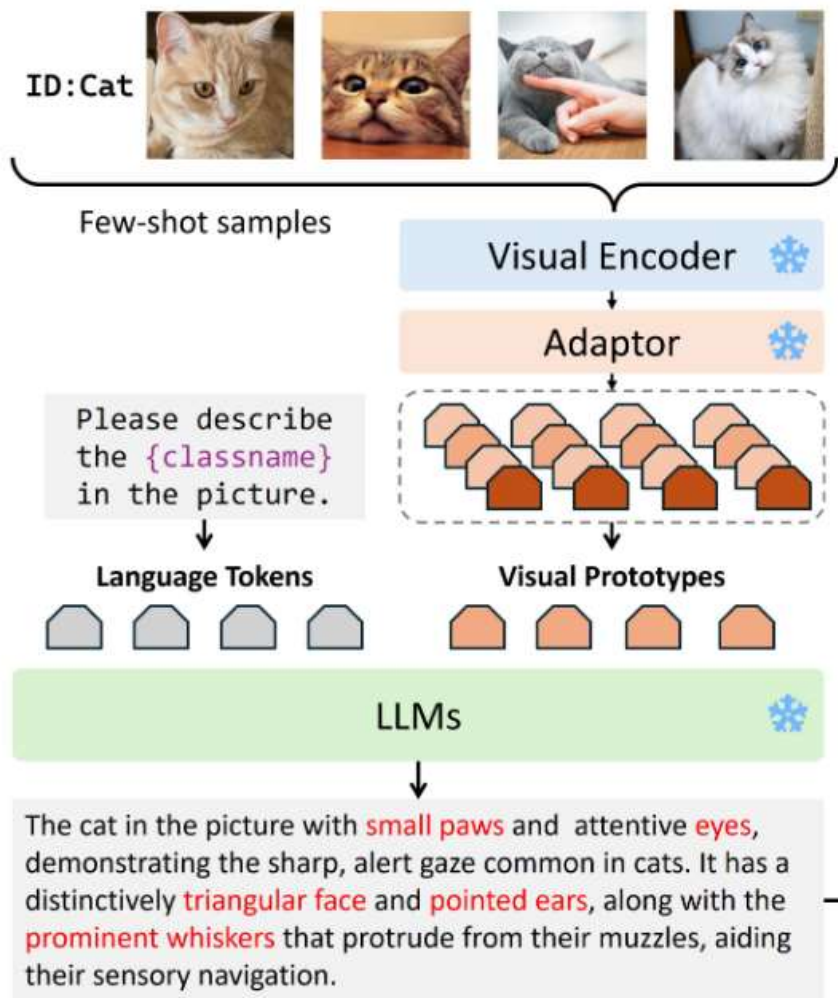


(b) Essential Concept Memory



(c) Essential Concept Matching

Essential Concept Extraction

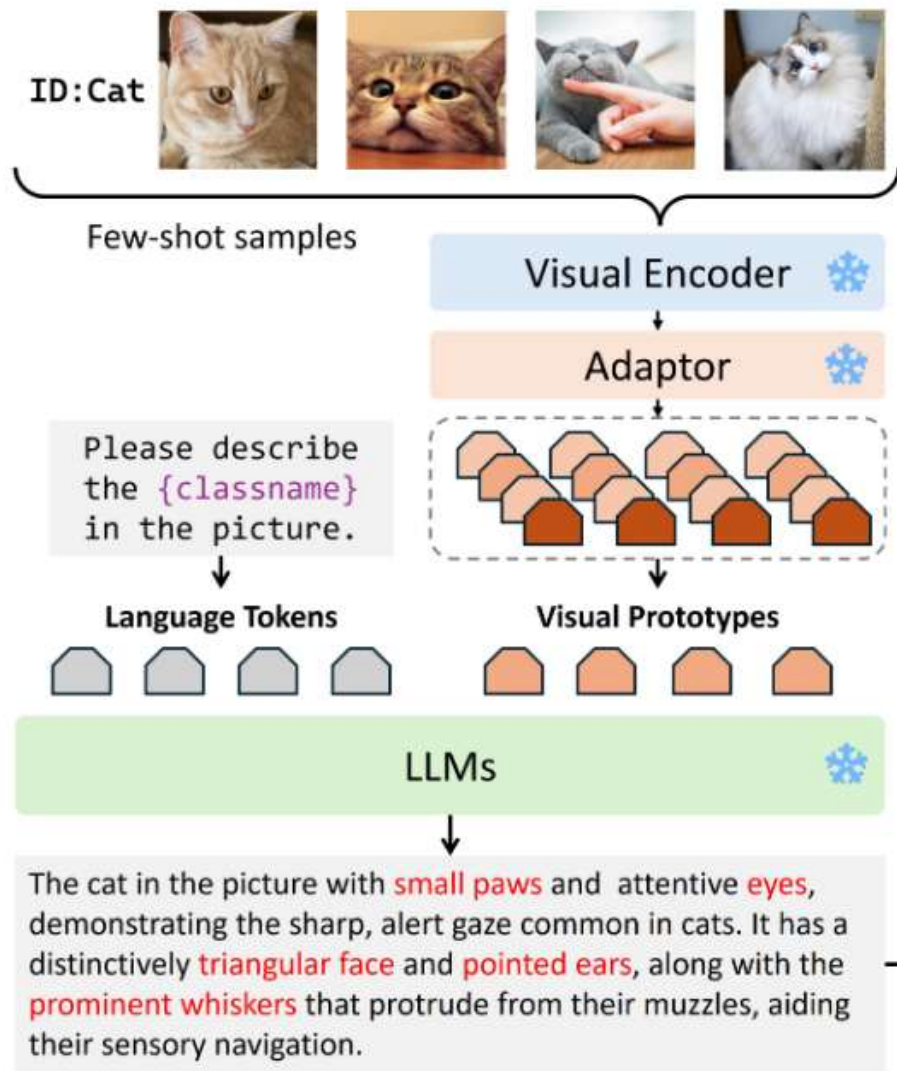


(a) Automated Essential Concept Discovery

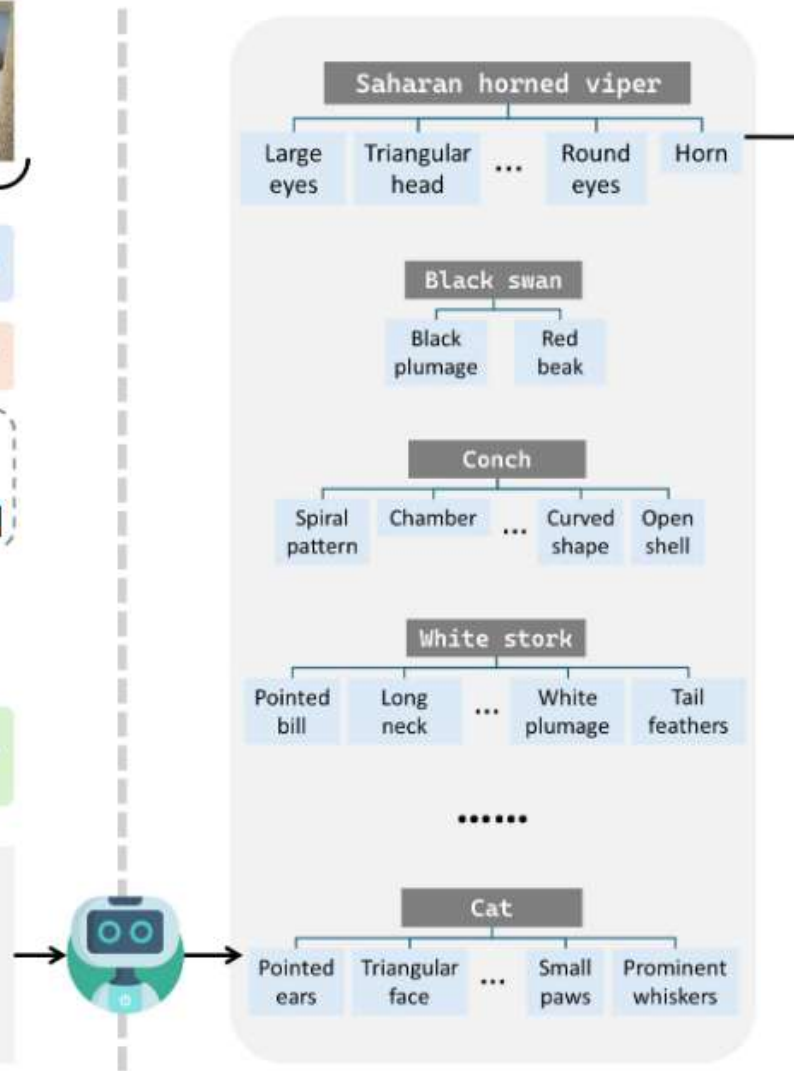
- 构建K-shot数据集 $\mathcal{S}^c = \{(x_i^c, y_i^c)\}_{i=1}^K$
- 计算类别特征原型 $P_c = \frac{1}{K} \sum_{i=1}^K \mathcal{A}(\mathcal{V}(x_i^c))$.
- 多模态模型输出类别描述

“Please describe the {classname} in the picture.”

Concept Documentation

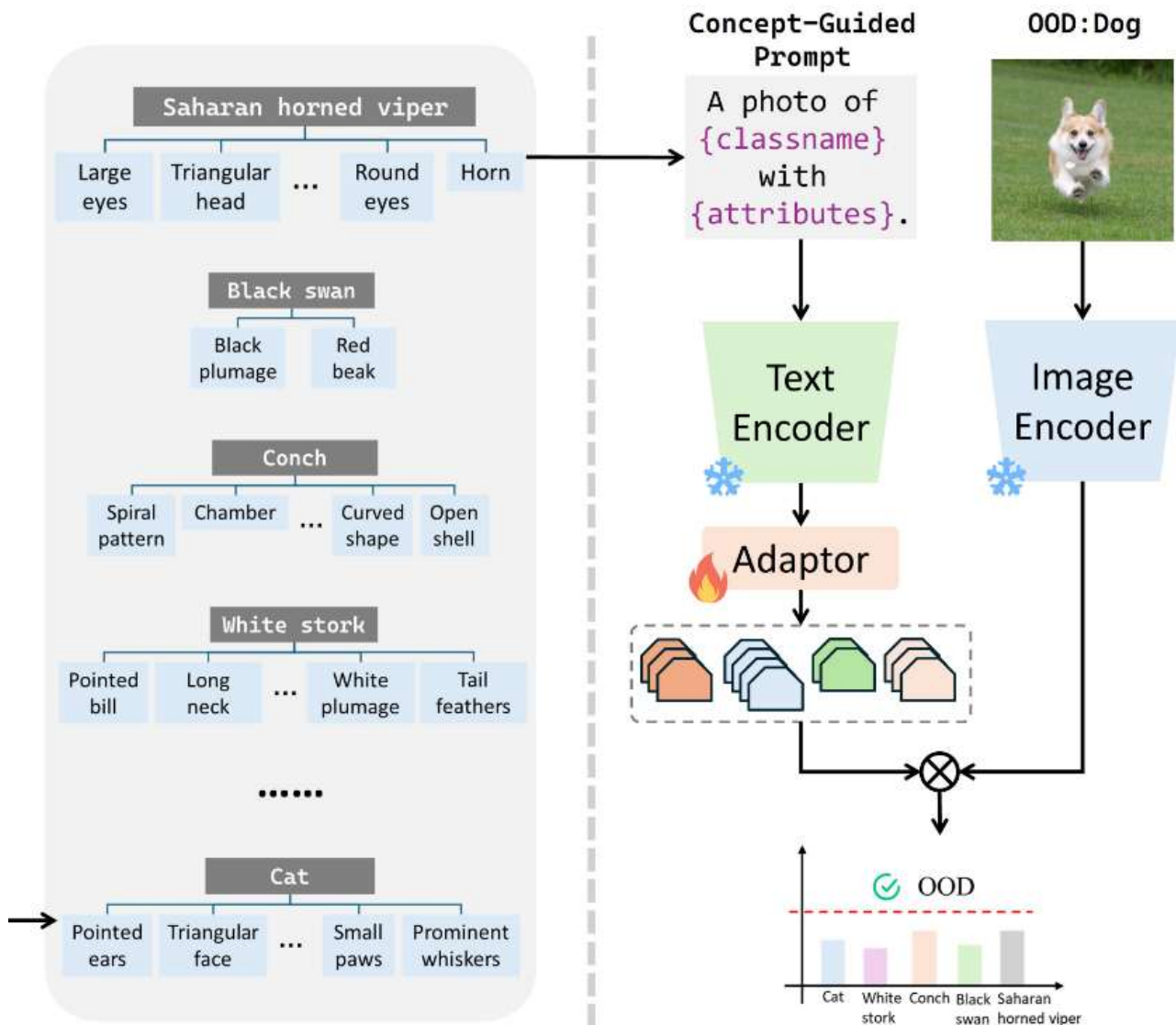


(a) Automated Essential Concept Discovery



(b) Essential Concept Memory

Concept Documentation



- 文本特征 $F_t = (1 - \alpha) \cdot a(g(t)) + \alpha \cdot g(t)$
- 视觉特征 $F_v = f(x_i)$
- 特征对齐 $\hat{F}_t = \mathcal{W} \cdot \mathcal{M} \cdot F_t$
- 预测概率

$$p(y = y_i | x_i) = \frac{\exp(\text{sim}(F_v, \hat{F}_t^i) / \tau)}{\sum_{c=1}^C \exp(\text{sim}(F_v, \hat{F}_t^c) / \tau)}$$

- OOD分数

$$\text{Score}_{\text{OOD}}(x) = - \max_c p(y = c | x).$$

Method	Texture		iNaturalist		Places		SUN		Avg	
	AUC \uparrow	FPR95 \downarrow	AUC \uparrow	FPR95 \downarrow	AUC \uparrow	FPR95 \downarrow	AUC \uparrow	FPR95 \downarrow	AUC \uparrow	FPR95 \downarrow
<i>Zero-shot methods</i>										
MCM [32]	86.11	57.77	94.61	30.91	89.77	44.69	92.57	34.59	90.76	42.74
<i>CLIP-based posthoc methods</i>										
MSP [11]	74.84	73.66	77.74	74.57	72.18	79.12	73.97	76.95	74.98	76.22
MaxLogit [13]	88.63	48.72	88.03	60.88	87.45	55.54	91.16	44.83	88.82	52.49
Energy [27]	88.22	50.39	87.18	64.98	87.33	57.40	91.17	46.42	88.48	54.80
ReAct [40]	88.13	49.88	86.87	65.57	87.42	56.85	91.04	46.17	88.37	54.62
ODIN [24]	87.85	51.67	94.65	30.22	85.54	55.06	87.17	54.04	88.80	47.75
<i>Prompt learning methods</i>										
CoOp [55]	89.47	45.00	93.77	29.81	90.58	40.11	93.29	40.83	91.78	51.68
LoCoOp [33]	90.19	42.28	96.86	16.05	91.98	32.87	95.07	23.44	93.52	28.66
LoCoOp+AECD	92.01	38.40	97.32	14.34	92.00	32.07	94.73	25.18	94.02	27.50
<i>Open-vocabulary OOD detection</i>										
CoOp (10%) [23]	87.58	50.55	91.08	42.53	89.56	46.12	91.52	41.92	89.94	45.28
LoCoOp (10%) [23]	88.21	47.32	94.47	34.90	91.64	39.85	92.54	26.30	91.72	37.09
LoCoOp+AECD (10%)	90.11	44.42	95.23	32.75	92.33	41.34	93.63	24.3	92.83	35.70

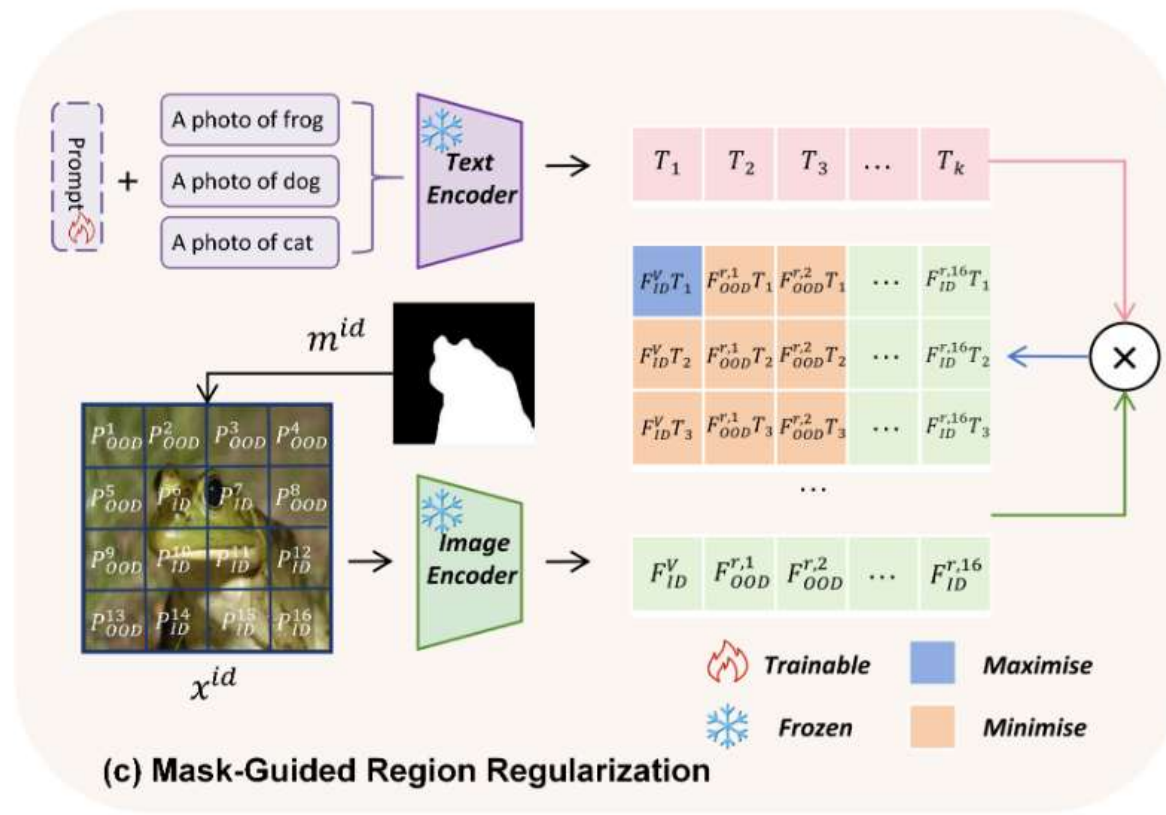
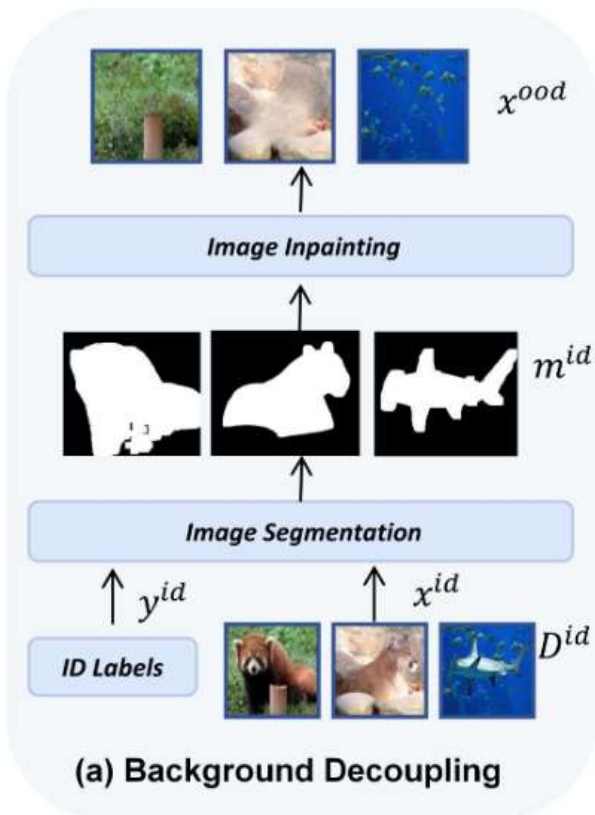
Overcoming Shortcut Problem in VLM for Robust Out-of-Distribution Detection

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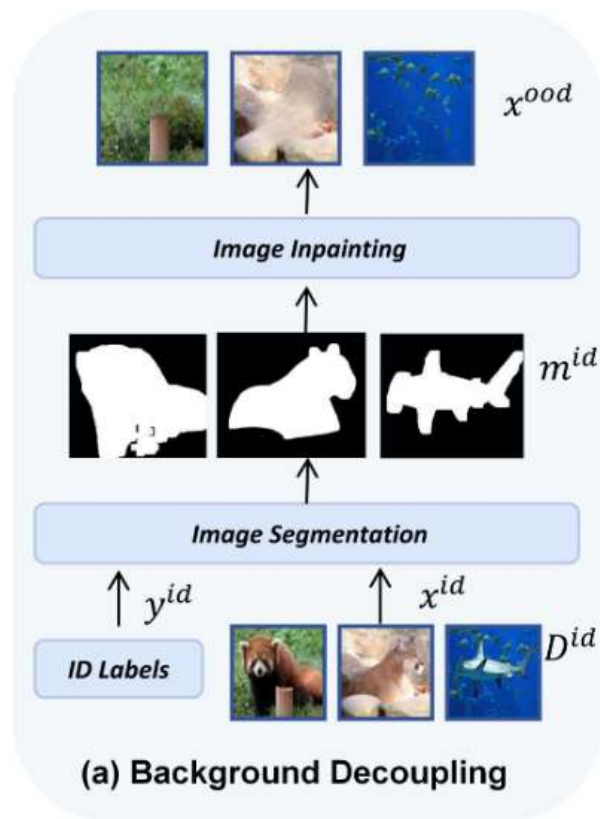
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Background Decoupling



- 语义分割 $m^{id} = \text{Seg}(x^{id}, y^{id})$
- Inpainting $x^{ood} = \text{Inp}(x^{id} \odot (1 - m^{id}), m^{id} \oplus B)$
- CLIP模型进一步筛选

$$x^{ood} = \{x^{ood} \mid \epsilon < z_k^{id} - z_k^{ood}\}, z_k = \text{sim}(\mathcal{I}(x), \mathcal{T}(t_k))$$

Background Decoupling



Figure 3. Some images from ImageNet-Bg (right) and the ImageNet validation set (left).

OOD Aug:
Local Repeat



ID Aug:
Inpainted background



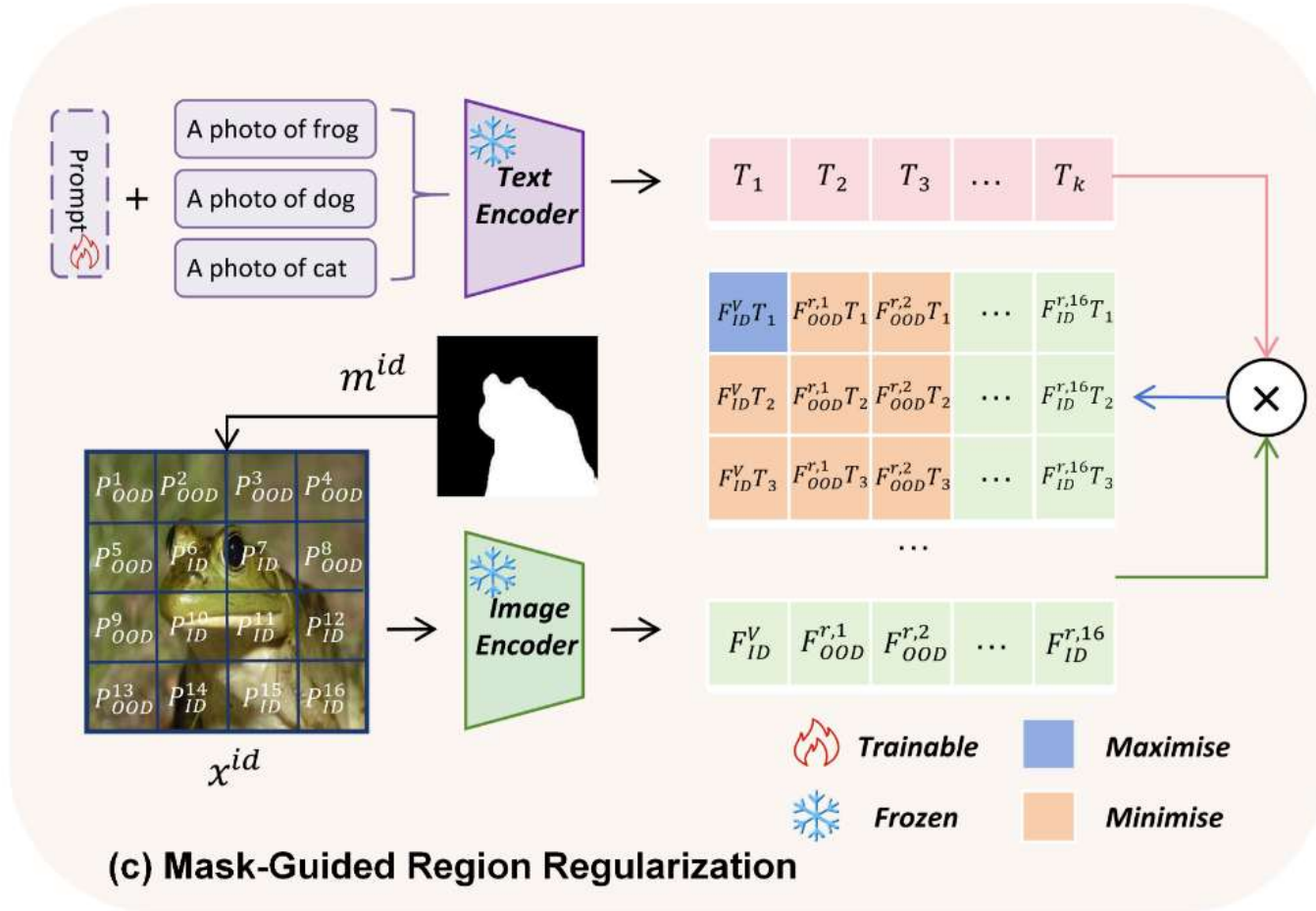
ID Aug:
Texture background



(b) ID&OOD Aug

- OOD图像增强：背景inpainting + ID区域纹理重复
- ID图像增强：ID区域和OOD背景组合

Mask-Guided Region Regularization



- OOD inference

$$S_{OOD} = \max_k p(y_k | \mathbf{x}) + \beta \max_{k,i} p(y_k | \mathbf{P}_i)$$

- ID-global relevance loss

$$\mathcal{L}_{id} = -\frac{1}{|D_{train}^{id}|} \sum_{x^{id} \in D_{train}^{id}} \log \frac{\exp(z_k/\tau)}{\sum_{j=1}^K \exp(z_j/\tau)}$$

- OOD-global irrelevance loss

$$\mathcal{L}_{ood}^g = -\frac{1}{|D_{train}^{ood}|} \sum_{x \in D_{train}^{ood}} H[p(y | x)]$$

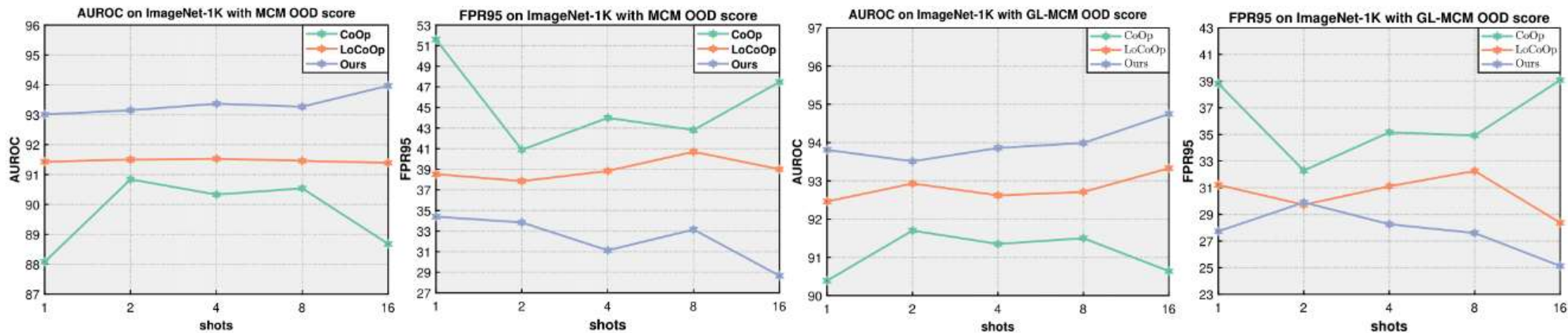
- OOD-region irrelevance loss

$$\mathcal{L}_{ood}^r = -\frac{1}{|D_{train}^{all}|} \sum_{x_i \in D_{train}^{all}} \frac{1}{N_i} \sum_{n=1}^{N_i} H[p(y | P_n^{ood})]$$

$$P \in \begin{cases} P^{id} & \text{if } \sum_{j=0}^n m_j^{id} > \theta \\ P^{ood} & \text{if } \sum_{j=0}^n m_j^{id} \leq \theta \end{cases}$$

Method	iNaturalist		SUN		Places		Texture		Avg	
	AUROC↑	FPR95↓	AUROC↑	FPR95↓	AUROC↑	FPR95↓	AUROC↑	FPR95↓	AUROC↑	FPR95↓
Training-free methods										
ZOC [10]	86.09	87.30	81.20	81.51	83.39	73.06	76.46	98.90	81.79	85.19
MCM [33]	94.61	30.94	92.56	37.67	89.76	44.76	86.10	57.91	90.76	42.82
GL-MCM [39]	96.71	15.18	93.09	30.42	89.90	38.85	83.63	57.93	90.83	35.47
CLIPN-A [49]	95.27	23.94	93.93	26.17	90.93	40.83	92.28	33.45	93.10	31.10
DPM-F [57] †	96.84	15.26	91.78	42.58	89.60	45.99	85.74	57.55	90.99	40.35
Outlier-label exposure methods										
NegLabel [21]	99.48	1.99	95.43	21.05	91.95	34.95	90.90	44.79	94.25	25.69
LAPT [55]	99.63	1.16	96.01	19.12	92.01	33.01	91.06	40.32	94.68	23.40
EOE [4]	97.52	12.29	95.73	20.40	92.94	30.16	85.64	57.53	92.96	30.09
OLE [8]	98.33	7.61	94.87	22.44	92.45	31.73	92.40	34.70	94.51	24.12
Requires few-shot training (or w/ fine-tuning)										
CoOp [60]	96.62	14.60	92.65	28.48	89.98	36.49	88.03	43.13	91.82	30.67
LoCoOp [35]	96.86	16.05	95.07	23.44	91.98	32.87	90.19	42.28	93.52	28.66
SCT [51]	95.86	13.94	95.33	20.55	92.24	29.86	89.06	41.51	93.37	26.47
DPM-T [57] †	97.04	14.47	93.19	33.06	89.78	39.46	87.49	49.73	91.88	34.18
ID-like [2]	98.19	8.98	91.64	42.03	91.15	41.74	94.38	26.77	93.84	29.88
NegPrompt [27] †	90.69	45.97	92.18	39.43	91.65	37.49	90.01	44.84	91.13	41.93
OSPCoOp (Ours)	97.13	15.25	96.74	18.26	94.01	25.74	91.13	41.26	94.75	25.13

Experiment



OOD Aug	Places		Texture		Avg	
	AUR \uparrow	FPR \downarrow	AUR \uparrow	FPR \downarrow	AUR \uparrow	FPR \downarrow
None	92.02	34.71	89.27	46.38	92.97	32.54
Rep	92.58	32.17	90.08	44.50	93.43	29.82
Bg	93.85	25.95	90.90	41.35	94.65	24.77
Rep+Bg	94.01	25.74	91.13	41.26	94.75	25.13

Table 4. Ablation study of OOD augmentation. 'Rep' stands for the data generated by repeating local ID regions, and 'Bg' stands for using the decoupled OOD content with ID regions inpainted. 'Avg' stands for the results on the four traditional OOD datasets.

Thanks